

## Complexity Theory

### WS 2011/2012, Exercise Sheet #11

#### EXERCISE 29:

(Schwartz-Zippel)

A multivariate polynomial  $p \in \mathbb{Z}[X_1, \dots, X_n]$  in dense encoding is an enumeration of its coefficients, lexicographically ordered according to the degree\* of their corresponding monomials; one in sparse encoding is an expression over  $0, 1, +, -, \times, X_1, \dots, X_n$ .

- a) Prove that an  $n$ -variate polynomial has maximal degree  $\leq$  total degree  $\leq n \cdot$  maximal degree.  
An  $n$ -variate polynomial in dense encoding of length  $d$  has total degree at most  $d$ .  
An  $n$ -variate polynomial of maximal degree  $d$  consists in dense encoding of  $\leq (d+1)^n$  monomials.
- b) How 'large' is the  $n$ -variate polynomial  $\prod_{j=1}^n (1 + X_j)$  in sparse encoding, how large in dense encoding? Determine its total and maximal degree.
- c) Determine the total and maximal degree and size of the dense encoding of the  $n^2$ -variate polynomial  $\det((X_{ij})_{i,j})$ . Analyze the LU decomposition algorithm to obtain a 'small' sparse encoding. How small?
- d) Prove: Two polynomials  $p, q$  are equal iff their dense encodings coincide. Specify two different sparse encodings of the same polynomial. Specify a non-zero  $p \in \mathbb{Z}[X, Y]$  with infinitely many roots.
- e) Let  $\mathbb{F}$  denote an integral domain and  $0 \neq p \in \mathbb{F}[X_1, \dots, X_n]$  a polynomial of total degree  $\leq d$  and  $S \subseteq \mathbb{F}$ . With respect to  $x_1, \dots, x_n \in S$  guessed uniformly independently at random, prove that  $p(x_1, \dots, x_n) = 0$  holds with probability  $\leq d/|S|$ . Hint: Induction w.r.t.  $n$  using conditional probabilities.
- f) Describe and analyze an  $\mathcal{RP}$  algorithmus for the following problem:

Given multivariate polynomials  $p, q$  in spares representation, does  $p \neq q$  hold?

- g) And for the following problem:

Given  $n \in \mathbb{N}$  and  $n$ -variate polynomials  $p_{i,j}$  for  $1 \leq i, j \leq n$ , is  $\det((p_{i,j})_{i,j}) \neq 0$ ?

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\* $X^k \cdot Y^\ell$  has total degree  $k + \ell$  and maximal degree  $\max(k, \ell)$ .

**EXERCISE 30:****(Schönhage'79)**

A Straight-Line Program (SLP)  $S$  of length  $N$  (over ring  $R$  in variables  $X_1, \dots, X_m$ ) is an  $N$ -element sequence of operations  $Z_k := 1$ ,  $Z_k := 0$ ,  $Z_k := -Z_j$ ,  $Z_k := Z_j + Z_i$ , and  $Z_k := Z_j \cdot Z_i$  with  $i, j < k$  where  $(Z_0, Z_{-1}, \dots, Z_{-m+1}) := (X_1, \dots, X_m)$ . Upon assignment to  $X_1, \dots, X_m$  values from  $R$ ,  $S$  calculates inductively  $Z_1, Z_2, \dots, Z_N$ . We abbreviate  $Z_N = S(X_1, \dots, X_m)$ .

- Describe a short SLP in one variable  $X$  calculating  $X^n$ . How long does it take to calculate the constant  $2^{2^n}$ ?
- Describe a short<sup>†</sup> SLP over  $\mathbb{Z}$  in 0 variables calculating  $n!$
- A variable-free SLP of length  $N$  has  $|S()| \leq 2^{2^N}$ .
- There are no more than  $2^N$  distinct primes dividing  $S() \neq 0$ .
- To  $S() \neq 0$  there are at least  $2^N$  integers  $m < 2^{3N}$  satisfying  $S() \neq 0 \pmod m$ .  
Hint: Prime number theorem of Hadamard/de La Vallée Poussin.
- Describe and analyze an efficient (randomized or deterministic) algorithm for the following decision problem:

$$\{\langle S_1, S_2 \rangle : S_1, S_2 \text{ SLPs in 0 variables with } S_1() \neq S_2()\}$$

**EXERCISE 31:**

Let  $n \in \mathbb{N}$  and  $R \subseteq \{0, 1\}^n$ . " $\oplus : \{0, 1\}^2 \rightarrow \{0, 1\}$ " denotes *exclusive or*, that is binary addition modulo 2. For  $\vec{x}, \vec{u} \in \{0, 1\}^n$  write  $\vec{x} \oplus \vec{u} := (x_1 \oplus u_1, \dots, x_n \oplus u_n)$  and  $X \oplus \vec{u} := \{\vec{x} \oplus \vec{u} : \vec{x} \in X\}$ . For a proposition  $A(\vec{u})$  with parameter  $\vec{u}$ ,  $\Pr_{\vec{u}}[A(\vec{u})]$  denotes the probability that  $A$  becomes true for  $\vec{u} \in \{0, 1\}^n$  chosen uniformly componentwise independently at random.

- Let  $\vec{y} \in \{0, 1\}^n$ . Prove:  $\vec{y} \in R \oplus \vec{u} \Leftrightarrow \vec{u} \oplus \vec{y} \in R \Leftrightarrow \vec{u} \in R \oplus \vec{y}$ .
- $\Pr_{\vec{u}}[\vec{y} \in R \oplus \vec{u}] = \Pr_{\vec{u}}[\vec{u} \in R]$  and  $\Pr_{\vec{u}, \vec{v}}[\vec{y} \in (R \oplus \vec{u}) \cap (R \oplus \vec{v})] = \Pr_{\vec{u}}[\vec{y} \in R \oplus \vec{u}] \cdot \Pr_{\vec{v}}[\vec{y} \in R \oplus \vec{v}]$ .
- Let  $1 \leq n \leq p < 2^n$  and  $R \subseteq \{0, 1\}^p$  with  $\text{Card}(R) \leq 2^{-n} \cdot 2^p$ .  
Show that no choice of  $\vec{t}_1, \dots, \vec{t}_p \in \{0, 1\}^p$  satisfies  $\{0, 1\}^p = \bigcup_{i=1}^p (R \oplus \vec{t}_i)$ .

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<sup>†</sup>The world record being  $\mathcal{O}(\sqrt{n} \cdot \text{polylog} n) \dots$