

Semantic Polynomial Hierarchy

(compare Arithmetic/Borel Hierarchy)

Definition: $\Delta_0 P = \Sigma_0 P = \Pi_0 P := P$

$$\Delta_{k+1} P := P^{\Sigma_k P} = P^{\Pi_k P}$$

$$\Sigma_{k+1} P := NP^{\Sigma_k P} = NP^{\Pi_k P}$$

$$\Pi_{k+1} P := coNP^{\Sigma_k P} = coNP^{\Pi_k P}$$

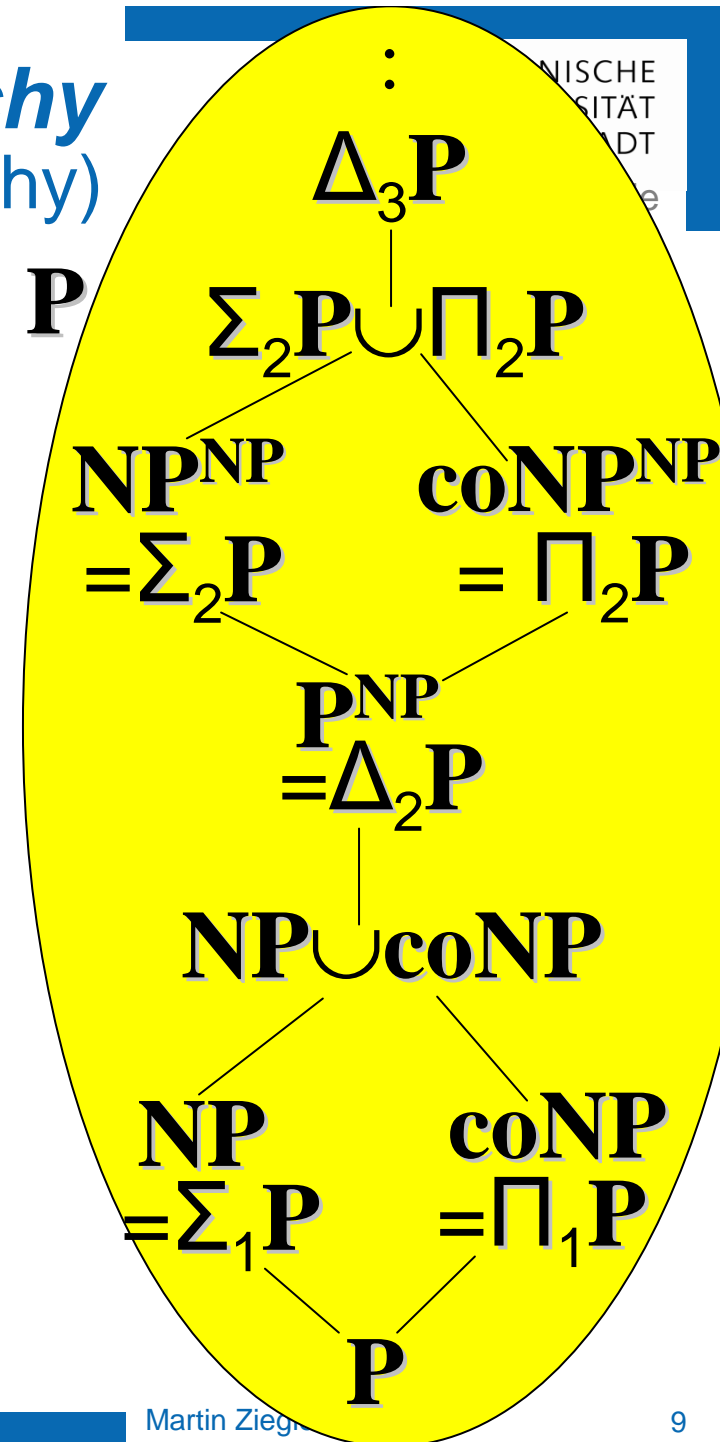
$$PH := \bigcup \Sigma_k P$$

Lemma: a) $\Delta_k P = co-\Delta_k P$

b) $\Delta_k P \subseteq \Sigma_k P \cap \Pi_k P$

c) $\Sigma_k P \cup \Pi_k P \subseteq \Delta_{k+1} P$

d) $PH \subseteq PSPACE$



Syntactic Polynomial Hierarchy



Theorem: a) $L \subseteq \{0,1\}^*$ belongs to **NP** iff

$$L = \{ \underline{x} : \exists \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in A \} \quad \text{for polyn. } p \text{ and } A \in \mathbf{P}$$

b) L belongs to Σ_{k+1} iff, for some polyn. p and $A \in \Pi_k$,

$$L = \{ \underline{x} : \exists \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in A \}. \quad \text{b) } \Leftrightarrow \text{c)}$$

c) L belongs to Π_{k+1} iff, for some polyn. p and $B \in \Sigma_k$,

$$L = \{ \underline{x} : \forall \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in B \} \quad \text{b) + c) } \Rightarrow \text{d)}$$

d) L belongs to Σ_k iff, for some polyn. p and $A \in \mathbf{P}$,

$$L = \{ \underline{x} : \exists \underline{y}_1 \in \{0,1\}^{p(|\underline{x}|)} \quad \forall \underline{y}_2 \in \{0,1\}^{p(|\underline{x}|)} \quad \exists \underline{y}_3 \in \{0,1\}^{p(|\underline{x}|)} \quad \dots$$

" \exists " if k odd, " \forall " else $\exists \underline{y}_k \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y}_1, \underline{y}_2, \underline{y}_3, \dots, \underline{y}_k \rangle \in A \}$

$$\Sigma_{k+1} \mathbf{P} := \mathbf{NP}^{\Sigma_k \mathbf{P}}$$

$$\Pi_{k+1} \mathbf{P} := \mathbf{coNP}^{\Sigma_k \mathbf{P}}$$

Syntactic NP⁰



Theorem: a) $L \subseteq \{0,1\}^*$ belongs to \mathbf{NP}^0 iff

$$L = \{ \underline{x} : \exists \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in A \} \quad \text{for polyn. } p \text{ and } A \in \mathbf{P}^0$$

b) L belongs to Σ_{k+1} iff, for some polyn. p and $A \in \Pi_k$,

$$L = \{ \underline{x} : \exists \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in A \}$$

Σ'_{k+1}

Proof b) „ \Leftarrow “: by induction on k , $L \in \Sigma_{k+1} = \mathbf{NP}^{\Sigma_k}$ ✓

„ \Rightarrow “: induction $L \in \mathbf{NP}^{\Sigma_k} \Rightarrow L = \{ \underline{x} : \exists \underline{y} \in \{0,1\}^{p(|\underline{x}|)} : \langle \underline{x}, \underline{y} \rangle \in A \}$,

$A \in \mathbf{P}^{\Sigma_k}$ but $\notin \Pi_k$. Instead show: $\mathbf{P}^{\Sigma_k} \subseteq \Sigma'_{k+1}$ + Exercise

$A \in \mathbf{P}^{\Sigma_k}$ decided by $q(n)$ -time DTM M^B , $B \in \Sigma_k = \Sigma'_k$: $A =$

$$\{ \underline{z} : \exists \underline{v}_1, \dots, \underline{v}_{q(|\underline{z}|)}, \underline{w}_1, \dots, \underline{w}_{q(|\underline{z}|)} \in \{0,1\}^{q(|\underline{z}|)} : \langle \underline{z}, \underline{v}_1, \dots, \underline{w}_{q(|\underline{z}|)} \rangle \in C \}$$

$C := \{ \langle \underline{z}, \underline{v}_1, \dots, \underline{w}_m \rangle : M^? \text{ accepts } \underline{z} \text{ querying only } \underline{v}_1, \dots, \underline{w}_m$

and $\underline{v}_1, \dots, \underline{v}_m \in B$ and $\underline{w}_1, \dots, \underline{w}_m \notin B \}$ $\in \Sigma'_{k+1}$ q.e.d.

Theorem: $\mathbf{BPP} \subseteq \Sigma_2 \cap \Pi_2$ „Derandomization“

Notation: $\underline{y}, \underline{z} \in \{0, 1\}^m \Rightarrow \underline{y} \oplus \underline{z} :=$ componentw. xor

Now fix $p(n)$ -time NTM M for $L \in \mathbf{BPP}$.

For input \underline{x} , M guesses a random string $\underline{r} \in \{0, 1\}^{p(n)}$.

$R_M(\underline{x}) := \{ \text{all } \underline{r} \in \{0, 1\}^{p(n)} \text{ leading } M \text{ to accept } \underline{x} \} \in \mathbf{P}$

• $\underline{x} \in L \Rightarrow \text{Card}(R_M(\underline{x})) \geq (1 - 2^{-n}) \cdot 2^{p(n)}$

• $\underline{x} \notin L \Rightarrow \text{Card}(R_M(\underline{x})) \leq 2^{-n} \cdot 2^{p(n)}$

→ Exercise

Goal: $L = \{ \underline{x} \mid \exists \underline{t}_1, \dots, \underline{t}_{p(|\underline{x}|)} \in \{0, 1\}^{p(|\underline{x}|)} :$

$\forall \underline{y} \in \{0, 1\}^{p(|\underline{x}|)} : \exists i=1, \dots, p(|\underline{x}|) : \underline{y} \oplus \underline{t}_i \in R_M(\underline{x}) \} \in \Sigma_2$

$\Leftrightarrow \{0, 1\}^p \subseteq \bigcup_i (R_M(\underline{x}) \oplus \underline{t}_i)$

Erdős' Probabilistic Method



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Komplexitätstheorie

Hypothesis: $R \subseteq \{0,1\}^p$, $\text{Card}(R) \geq (1-2^{-n}) \cdot 2^p$

Claim: $\exists \underline{t}_1, \dots, \underline{t}_p \in \{0,1\}^n : \forall \underline{y} \in \{0,1\}^n \exists j \leq p: \underline{y} \oplus \underline{t}_j \in R$

Probabilistic proof:

Consider random $\underline{t}_1, \dots, \underline{t}_p$.

For any fixed $\underline{y} \in \{0,1\}^n$:

- $\Pr_{\underline{t}}[\underline{y} \oplus \underline{t} \notin R] \leq 2^{-n}$
- $\Pr_{\underline{t}_1, \dots, \underline{t}_p}[\forall j \leq p: \underline{y} \oplus \underline{t}_j \notin R] \leq (2^{-n})^p$
- $\Pr_{\underline{t}_1, \dots, \underline{t}_p}[\exists \underline{y} \in \{0,1\}^n: \forall j \leq p: \underline{y} \oplus \underline{t}_j \notin R] \leq 2^n \cdot (2^{-n})^p < 1$

Exercise

