

**Solution Hints for Exercises No.9**

**Exercise 1** R-programs over alphabet  $\{0,1\}$ . For easier readability we use a GOTO construct, which is easily implemented by means of an “empty” branching condition of the form

IF  $R_1 = \square$  THEN  $\ell$  ELSE  $\ell$  OR  $\ell$ .

- (a)  $P_1 : R_2 := R_1^{-1}$  using registers  $R_1, R_2, R_3$ . The first part of this program moves the content of  $R_1$  in reverse to both  $R_2$  and  $R_3$ ; the second part then moves the content of  $R_3$  in reverse back to  $R_1$ .

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0  IF  $R_1 = \square$  THEN 9 ELSE 1 OR 5
1   $R_1 := R_1 - 0$ 
2   $R_2 := R_2 + 0$ 
3   $R_3 := R_3 + 0$ 
4  GOTO 0
5   $R_1 := R_1 - 1$ 
6   $R_2 := R_2 + 1$ 
7   $R_3 := R_3 + 1$ 
8  GOTO 0
-----
9  IF  $R_3 = \square$  THEN 16 ELSE 10 OR 13
10  $R_3 := R_3 - 0$ 
11  $R_1 := R_1 + 0$ 
12 GOTO 9
13  $R_3 := R_3 - 1$ 
14  $R_1 := R_1 + 1$ 
15 GOTO 9
16 STOP

```

- (b)  $P_2 : R_2 = R_1?$  using registers  $R_1, R_2, R_3$ . The main loop (lines 0 – 9) reduces the register contents of both  $R_1$  and  $R_2$  by one if the last letters agree; or exits to termination with empty  $R_3$  via line 11 if they do not agree; or exists to termination with non-empty  $R_3$  via line 10, if both have been reduced to  $\square$ .

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0  IF  $R_1 = \square$  THEN 1 ELSE 2 OR 6
-----
1  IF  $R_2 = \square$  THEN 10 ELSE 11 OR 11
-----
2   $R_1 := R_1 - 0$ 
3  IF  $R_2 = \square$  THEN 11 ELSE 4 OR 11
4   $R_2 := R_2 - 0$ 
5  GOTO 0
-----
6   $R_1 := R_1 - 1$ 
7  IF  $R_2 = \square$  THEN 11 ELSE 11 OR 8
8   $R_2 := R_2 - 1$ 
9  GOTO 0
-----
10  $R_3 := R_3 + 1$ 
11 STOP

```

Remark: a nicer version of this would either clean up  $R_1$  and  $R_2$  or restore these inputs.

**Exercise 2** The following program assembles the reverse of the desired output in register  $R_2$ , leaving  $R_1$  empty. [It should be combined with a program as in Exercise 1 (a) to reverse copy the contents of  $R_2$  back into  $R_1$ .]

$R_3 = \square$  or  $R_3 = 1$  serves as a marker:  $R_3 = 1$  until the first 0 from  $R_1$  is processed.

0	$R_3 := R_3 + 1$	[initialize $R_3$ ]
1	IF $R_3 = \square$ THEN 2 ELSE 9 OR 9	[only $R_3 = \square$ or $R_3 = 1$ occurs]
2	IF $R_1 = \square$ THEN 17 ELSE 3 OR 6	[branching on $R_1$ , while $R_3 = \square$ ]
3	$R_1 := R_1 - 0$	[if $R_1 = \dots 0$ , $R_3 = \square$ ]
4	$R_2 := R_2 + 0$	
5	GOTO 1	
6	$R_1 := R_1 - 1$	[if $R_1 = \dots 1$ , $R_3 = \square$ ]
7	$R_2 := R_2 + 1$	
8	GOTO 1	
9	IF $R_1 = \square$ THEN 11 ELSE 10 OR 14	[branching on $R_1$ , while $R_3 = 1$ ]
10	$R_1 := R_1 - 0$	[if $R_1 = \dots 0$ , $R_3 = 1$ ]
11	$R_2 := R_2 + 1$	
12	$R_3 := R_3 - 1$	
13	GOTO 1	
14	$R_1 := R_1 - 1$	[if $R_1 = \dots 1$ , $R_3 = 1$ ]
15	$R_2 := R_2 + 0$	
16	GOTO 1	
17	STOP	

**Exercise 3** (i)  $\Rightarrow$  (ii). Assume  $W$  is decidable and infinite. The unique monotone function  $f: \mathbb{N} \rightarrow W$  (mapping  $n$  to the  $n + 1$ -st element of  $W$  w.r.t.  $<_{\text{lex}}$ ) is surjective. It is also computable: to determine  $f(n)$  one needs to run a decision procedure for  $W$  successively stepping through  $w \in \mathbb{A}^*$  in lexicographic order, until one gets the  $n + 1$ -st positive answer, and then output the corresponding  $w$ .

(ii)  $\Rightarrow$  (i). W.l.o.g. consider an infinite  $W$  with  $f$  as given. The following algorithm then decides whether  $w \in W$ : compute successive values  $f(n)$  for  $n = 0, 1, 2, \dots$  until either  $f(n) = w$  (answer:  $w \in W$ ) or  $w <_{\text{lex}} f(n)$  (answer:  $w \notin W$ ).

**Exercise 4** (cf. Lemma 4.1.2) For (i)  $\Rightarrow$  (ii) one just needs to modify the tail end of a program  $P$  that decides  $W$  so that instead of terminating with  $R_1 = \square$  say, it diverges. For this it suffices to replace the last line “ $m$  STOP” by the lines

$$\begin{array}{l} m \quad \text{IF } R_1 = \square \text{ THEN } m \text{ ELSE } m + 1 \text{ OR } \dots \text{ OR } m + 1 \\ m + 1 \quad \text{STOP} \end{array}$$

The new program  $P'$  terminates on input  $u$  iff  $P$  terminates with nonempty  $R_1$  on input  $u$ , and it diverges if  $P$  terminates with empty  $R_1$  on input  $u$ . So  $W = \{u: u \xrightarrow{P'} \text{STOP}\}$  is r.e.

$\bar{W}$  is treated analogously.

For (ii)  $\Rightarrow$  (i) we assume  $P_1$  and  $P_0$  are such that  $W = \{w \in \mathbb{A}^* : w \xrightarrow{P_1} \text{STOP}\}$  and  $\overline{W} = \{w \in \mathbb{A}^* : w \xrightarrow{P_0} \text{STOP}\}$ . Note that on each input  $w \in \mathbb{A}^*$ , precisely one of  $P_1$  or  $P_0$  terminates. W.l.o.g. assume that  $P_0$  and  $P_1$  use disjoint sets of registers (also for their input), and that  $R_1$  and  $R_2$  is used by neither.

Now organise  $P$  such that an initial part (taking the first  $m$  lines) serves to copy the register content of its input register  $R_1$  to the two input registers of  $P_1$  and  $P_0$ .

For the rest of  $P$  we want it to simulate  $P_0$  and  $P_1$  in an interleaving fashion, executing appropriate lines of  $P_0$  and of  $P_1$  in alternating manner, until one of them stops. For this we use pieces of program (one or two lines of instructions each) labelled by pairs of line numbers with a marker on either the first or the second component:  $(\underline{\ell}, \ell_1)$  and  $(\ell_0, \underline{\ell})$ .

If line  $\ell$  of  $P_i$  is a register update command  $\alpha$ , then let

$$(\underline{\ell}, \ell_1) : \begin{cases} \alpha \\ \text{GOTO } (\ell + 1, \underline{\ell}_1) \end{cases} \quad (\ell_0, \underline{\ell}) : \begin{cases} \alpha \\ \text{GOTO } (\underline{\ell}_0, \ell + 1) \end{cases}$$

If line  $\ell$  of  $P_i$  is a conditional branching command  $\alpha$ , let  $\alpha'(\ell_1)$  be the result of replacing each line address  $\ell'$  in  $\alpha$  by  $(\ell', \underline{\ell}_1)$ ; similarly let  $\alpha''(\ell_0)$  the result of replacing each line address  $\ell'$  in  $\alpha$  by  $(\underline{\ell}_0, \ell')$ . Then put

$$(\underline{\ell}, \ell_1) : \alpha'(\ell_1) \quad (\ell_0, \underline{\ell}) : \alpha''(\ell_0)$$

If line  $\ell$  of  $P_i$  is the STOP line, put

$$(\underline{\ell}, \ell_1) : \begin{cases} R_2 := R_2 + a \\ \text{GOTO } L \end{cases} \quad (\ell_0, \underline{\ell}) : \text{GOTO } L$$

Finally make  $L$  the new STOP line of  $P$ .

With a suitable renumbering of the new program lines and transcription of the GOTO command (see above),  $P$  is turned into a proper  $R$ -program with input register  $R_1$  and output register  $R_2$  for deciding  $W$ :  $P$  terminates on all inputs  $u$ , and terminates with empty output register  $R_2$  iff  $u \notin W$  (viz., if  $P_1$  terminates on  $u$ ).

**Exercise 5** (a) There is no general implication since the languages  $\emptyset$  and  $\mathbb{A}^*$  are both decidable.

(b) For example, assume that both  $L_1$  and  $L_2$  are enumerable by programs  $P_1$  and  $P_2$ , respectively. Then  $L_1 \cup L_2$  is also enumerable. Indeed take the input and feed it to both programs running in parallel. As soon as one of the program stops (thus acknowledging membership of the input), stop the other program. For  $L_1 \cap L_2$  we would have to wait that both programs stop.

(c) First solution: there are only countably many enumerable languages (since programs are countably many) but there are uncountably many languages  $L$  such that  $L_1 \subseteq L \subseteq L_2$ . Second solution, more constructive: In order to build such an undecidable language, consider a numbering of all the words in  $L_2 \setminus L_1$  and a numbering of all the register programs, e.g., over the binary alphabet. Define  $L$  as the union of  $L_1$  plus the words in  $L_2 \setminus L_1$  whose numbers correspond to a program that halts. The halting problem is reducible to membership in  $L$ , which is then undecidable.

**Exercise 6** Following the hint, we want to describe the operation of  $P$  on register content  $\bar{u} = (u_1, u_2, \dots, u_k)$  in terms of  $\bar{u}' = (u_1 \# u_2 \cdots \# u_k, \square)$ . For the initial configuration on input  $u \in \mathbb{A}^*$ , we transform the initial register content  $(u, \square, \dots, \square)$  into  $\bar{u}'_0 = (u \# \# \cdots \#, \square)$  by a string of  $k - 1$  lines with the command  $R_1 := R_1 + \#$ .

A command  $R_i := R_i \pm a$  in  $P$  now has to be simulated by the following subroutine: reverse-copy the top of the content of  $R_1$  down through the first  $(k - i)$  occurrences of  $\#$  from the top (do nothing if  $i = k$ ) so that the register content of  $P$ 's register  $R_i$  is at the top of register  $R_1$ . Then operate as required on the remaining register content in  $R_1$  ( $R_i := R_i \pm a$  becomes  $R_1 := R_1 \pm a$ ). Finally reverse-copy the content of  $R_2$  back onto  $R_1$ .

An **IF**  $R_i = \square$  **THEN**  $n_1$  **ELSE**  $\dots$  **OR**  $n_\ell$  similarly needs to be prefixed by a reverse-copy operation that brings the register content of  $P$ 's register  $R_i$  to the top of register  $R_1$ . The actual branching is then performed on **IF**  $R_1 = \square$  **THEN**  $n'_1$  **ELSE**  $\dots$  **OR**  $n'_\ell$  **OR**  $n'_1$  (note that the **ELSE**-selection is one longer than before, with a last **OR** for the case that the register contents ends in  $\#$ , which means that  $P$ 's  $R_i$  was empty. Each one of the target lines  $n'_1, \dots, n'_\ell$  in  $P$  needs to be prefixed by a reverse-copy procedure that restores the upper layers of  $R_1$ .

NB: unlike the reverse-copy operation of Exercise 1 (a), we here do not want to keep the source register unchanged, but really remove letter after letter in the source register as we append it to the target register. This is easily done without any auxiliary registers.