

**Exercises No.2**

- Exercise 1** (a) Prove the following assertion for all  $t \in T_\sigma$  by (syntactic) induction based on the calculus that generates  $T_\sigma$ : no proper prefix of  $t$  is an element of  $T_\sigma$ , and  $t$  is not a proper prefix of any  $t' \in T_\sigma$ .
- (b) For  $V \subseteq \text{Var}$  we defined  $T_\sigma(V) := \{t \in T_\sigma : \text{var}(t) \subseteq V\}$ . Alternatively, one could use a modified calculus to generate just the terms with variable symbols from  $V$ . Do so, and show that the results agree.

**Exercise 2** (Ex. 1.5 again, now that we have the prerequisites.)

Let  $\sigma$  be a signature consisting of only function and constant symbols, and with  $\text{const}(\sigma) \neq \emptyset$ . Let  $\mathfrak{T}_\sigma$  be the *free or term*  $\sigma$ -structure with universe  $T_\sigma$ . With a subset  $V_0 \subseteq \text{Var}$  associate the set of terms  $T_\sigma(V_0) := \{t \in T_\sigma : \text{var}(t) \subseteq V_0\}$ .

- (a) Show that  $T_\sigma(V_0)$  is the universe of a substructure  $\mathfrak{T}_\sigma(V_0) := \mathfrak{T}_\sigma \upharpoonright T_\sigma(V_0) \subseteq \mathfrak{T}_\sigma$ .
- (b) Show that for any  $\sigma$ -structure  $\mathfrak{A}$  there is a unique homomorphism  $h: \mathfrak{T}_\sigma(\emptyset) \xrightarrow{\text{hom}} \mathfrak{A}$ .
- (c) Let  $\beta_0: V_0 \rightarrow A$  be a *partial assignment* in the  $\sigma$ -structure  $\mathfrak{A}$ . Show that there is a unique homomorphism  $h: \mathfrak{T}_\sigma(V_0) \xrightarrow{\text{hom}} \mathfrak{A}$  that extends  $\beta_0$  [in fact: the restriction of the interpretation function of terms for any assignment that extends  $\beta_0$ ].

**Exercise 3** Consider  $\sigma = \{R\}$  with a binary relation symbol  $R$ . Show that the following classes of  $\sigma$ -structures are elementary, by providing appropriate  $\text{FO}(\sigma)$  sentences.

- (i)  $\mathcal{C}_1$  the class of all  $\mathfrak{A} = (A, R^{\mathfrak{A}})$  s.t.  $R^{\mathfrak{A}}$  linearly orders  $A$  without maximal element.
- (ii)  $\mathcal{C}_2$  the class of  $\mathfrak{A} = (A, R^{\mathfrak{A}})$  in which  $R^{\mathfrak{A}}$  is the graph of a unary function  $f: A \rightarrow A$  that is injective but not surjective.
- (iii)  $\mathcal{C}_3$  the class of  $\mathfrak{A} = (A, R^{\mathfrak{A}})$  s.t.  $R^{\mathfrak{A}}$  is an equivalence relation on  $A$  with at least two equivalence classes.
- (iv)  $\mathcal{C}_4$  the class of all  $\mathfrak{A} = (A, R^{\mathfrak{A}}) \simeq (\{1, 2, 3\}, \{(1, 2), (2, 3), (3, 1)\})$ .

In at least one case, check the adequacy of your formalisation by exhibiting the semantics according to the inductive definition of the satisfaction relation.

**Exercise 4** Call a formula  $\varphi \in \text{FO}(\sigma)$  *positive* if it is generated from atomic formulae by conjunctions, disjunctions and quantifications alone (i.e., no use of (F3), or of  $\rightarrow$  or  $\leftrightarrow$  in (F4)).

Let  $h: \mathfrak{A} \xrightarrow{\text{hom}} \mathfrak{B}$  be a surjective homomorphism. For an assignment  $\beta$  in  $\mathfrak{A}$ ,  $h \circ \beta$  is an assignment in  $\mathfrak{B}$  and every assignment in  $\mathfrak{B}$  may be thus obtained. Show the following by syntactic induction for all positive formulae  $\varphi \in \text{FO}(\sigma)$ :

$$(\mathfrak{A}, \beta) \models \varphi \quad \Rightarrow \quad (\mathfrak{B}, h \circ \beta) \models \varphi.$$

Give examples to show that surjectivity of  $h$  and positivity of  $\varphi$  are both necessary.

**Exercise 5** Prove the following, for arbitrary  $\varphi, \psi \in \text{FO}(\sigma)$  and  $x, y \in \text{Var}$ :

- (i)  $\forall x \forall y \varphi \equiv \forall y \forall x \varphi$ .
- (ii)  $\exists x \forall y \varphi \models \forall y \exists x \varphi$ .
- (iii) if  $x \notin \text{free}(\varphi)$ :  $(\varphi \wedge \forall x \psi) \equiv \forall x (\varphi \wedge \psi)$ .