

# 1 Recap on Recursive Analysis

## 1.1 Notions of computability for real numbers

- Definition 1.1.** a) Call  $x \in \mathbb{R}$  **binarily computable** iff there exists a computable sequence  $b_n \in \{0, 1\}$ ,  $n \geq -N$ , (i.e. a function  $b : \{-N, -N+1, \dots, 0, 1, 2, \dots\} \rightarrow \{0, 1\}$ ) such that  $\sum_{n=-N}^{\infty} b_n 2^{-n}$ .
- b) Call  $x \in \mathbb{R}$  **computable** iff there exists a computable integer sequence  $(c_n)_n$  such that  $|x - c_n/2^{n+1}| \leq 2^{-n}$ .
- c) Let  $\mathbb{D}_n := \{c/2^n \mid c \in \mathbb{Z}\}$  and  $\mathbb{D} := \bigcup_n \mathbb{D}_n$  denote the set of **dyadic rationals**.
- d) Call  $x \in \mathbb{R}$  **Cauchy-computable** iff there exist computable sequences  $q_n, \varepsilon_n \in \mathbb{Q}$  such that  $|x - q_n| \leq \varepsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ .
- e) Call  $x \in \mathbb{R}$  **naively computable** iff there exists a computable sequence  $q_n \in \mathbb{Q}$  such that  $q_n \rightarrow x$  as  $n \rightarrow \infty$ .
- f) A sequence  $s_n \in \{1, 0, \bar{1}\}$ ,  $n \geq -N$ , is called a **signed digit expansion** of  $\sum_{n=-N}^{\infty} s_n 2^{-n}$ . Encoded over  $\{0, 1\}^\omega$ ,  $(\text{bin}(N), (s_n)_n)$  is a  **$\rho_{sd}$ -name** of  $x$ . Call a sequence  $(b_n)_n$  as in a) (encoded over  $\{0, 1\}^\omega$ ) a  **$\rho_b$ -name** of  $x$ ; and  $(c_n)_n$  as in b) a  **$\rho$ -name** of  $x$ . A pair  $(q_n)_n$  and  $(\varepsilon_n)_n$  of sequences as in d) is a  **$\rho_C$ -name** of  $x$ . A sequence  $(q_n)_n$  as in e) is a  **$\rho_n$ -name** of  $x$ .

- Lemma 1.2.** a) Every binarily computable real has a computable signed digit expansion.  
b) Every real with a computable signed digit expansion is computable.  
c) Every computable real is Cauchy-computable d) and vice versa.  
e) (Cauchy-)computability implies naive computability,

- Example 1.3** a) Every rational number  $x \in \mathbb{Q}$  is binarily computable.  
b)  $\sqrt{2}$  and  $\pi$  are (Cauchy-)computable real numbers.  
c) For  $H \subseteq \mathbb{N}$  the Halting problem,  $\sum_{n \in H} 2^{-n}$  is not binarily computable  
d) but naively computable.

## 1.2 Computing functions and relations on a continuous universe

- Definition 1.4.** a) A **multivalued** (possibly partial) function  $f : \subseteq X \rightrightarrows Y$  (aka **relation** or **multifunction**) is a subset of  $X \times Y$ . We write  $\text{dom}(f) := \{x \in X \mid \exists y \in Y : (x, y) \in f\}$  and  $f(x) = \{y \in Y \mid (x, y) \in f\}$ .
- b) A **Type-2 Machine** has an infinite read-only input tape, an infinite one-way output tape, and an unbounded work tape. It computes a (possibly partial) function  $F : \subseteq \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega$ .
- c) A **representation** of a set  $X$  is a partial surjective mapping  $\alpha : \subseteq \{0, 1\}^\omega \rightarrow X$ .
- d) Fix representations  $\alpha$  of  $X$  and  $\beta$  of  $Y$  and a (possibly partial and multivalued) function  $f : \subseteq X \rightrightarrows Y$ . A  **$(\alpha \rightarrow \beta)$ -realizer** of  $f$  is a (partial but single-valued) function  $F : \subseteq \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega$  with  $f(\alpha(\bar{\sigma})) \ni \beta(F(\bar{\sigma}))$  for every  $\bar{\sigma} \in \text{dom}(F) := \{\bar{\sigma} \mid \alpha(\bar{\sigma}) \in \text{dom}(f)\}$ .

- e) A function as in d) is  $(\alpha \rightarrow \beta)$ -computable if it has a computable realizer in the sense of b). (We simply say *computable* if  $\alpha, \beta$  are clear from context.) It is  $(\alpha \rightarrow \beta)$ -continuous if it has a continuous realizer.
- f) Let  $\alpha_i$  be representations for  $X_i$ ,  $i \in I \subseteq \mathbb{N}$ , and  $\langle \cdot | \cdot \rangle : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  a computable surjective pairing function. Then  $(\sigma_m)_m$  is a  $(\prod_{i \in I} \alpha_i)$ -name of  $(x_i)_{i \in I} \in \prod_i X_i$  iff  $(\sigma_{\langle i, n \rangle})_n$  is an  $\alpha_i$ -name of  $x_i \in X_i$  for every  $i \in I$ .

**Example 1.5** a) Let  $\alpha, \beta, \gamma$  denote representations of  $X, Y, Z$ , respectively. If  $f : \subseteq X \rightrightarrows Y$  is  $(\alpha \rightarrow \beta)$ -computable and  $g : \subseteq Y \rightrightarrows Z$  is  $(\beta \rightarrow \gamma)$ -computable, then so is their composition

$$g \circ f := \{ (x, z) \mid x \in X, z \in Z, f(x) \subseteq \text{dom}(g), \exists y \in Y : (x, y) \in f \wedge (y, z) \in g \} . \quad (1)$$

- b) A single-valued total real function  $f : [0, 1] \rightarrow \mathbb{R}$  is  $(\rho \rightarrow \rho)$ -computable if some Type-2 machine can map every  $\rho$ -name  $(c_n)_n$  of some  $x \in [0, 1]$  to a  $\rho$ -name  $(c'_m)_m$  of  $f(y)$ .
- c) Addition and multiplication are  $(\rho \times \rho \rightarrow \rho)$ -computable; inversion  $\mathbb{R} \setminus \{0\} \ni x \mapsto 1/x$  is  $(\rho \rightarrow \rho)$ -computable.
- d) Every polynomial with computable coefficients is computable; and vice versa.
- e) Let  $(a_n)_n$  denote a computable sequence,  $R := 1 / \limsup_n \sqrt[n]{|a_n|}$  and  $0 < r < R$ . Then  $[-r, r] \ni x \mapsto \sum_n a_n x^n$  is computable. In particular  $\exp, \sin, \cos, \ln(1+x)$  are computable.
- f) Fix  $\varepsilon > 0$ . The multifunction  $\widetilde{\text{sgn}}_\varepsilon : \mathbb{R} \rightrightarrows \{-1, +1\}$  with  $\varepsilon > x \mapsto -1$  and  $-\varepsilon < x \mapsto +1$  is computable.
- g) Any  $x \in \mathbb{R}$  is binarily computable iff it is computable.

**Theorem 1.6.** a) Every (oracle-)computable  $F : \subseteq \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega$  is continuous.

- b) To every continuous  $F : \subseteq \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega$ , there exists an oracle relative to which  $F$  becomes computable.
- c) Every oracle-computable  $f : [0, 1] \rightarrow \mathbb{R}$  is continuous!
- d) There exists a computable sequence of (degrees and coefficient lists of) univariate dyadic polynomials  $P_n \in \mathbb{D}[X]$  with  $\|P_n(x) - |x|\| \leq 2^{-n}$  on  $[-1, +1]$ .
- e) Fix an oracle  $\mathcal{O}$ . Continuous (total)  $f : [0, 1] \rightarrow \mathbb{R}$  is computable relative to  $\mathcal{O}$  iff there exists a sequence  $P_n \in \mathbb{D}_{n+1}[X]$  computable relative to  $\mathcal{O}$  such that  $\|f - P_n\|_\infty \leq 2^{-n}$ .
- f) To every continuous  $f : \mathbb{R} \rightarrow \mathbb{R}$  there is an oracle relative to which  $f$  becomes computable.

### 1.3 Encoding functions and closed subsets

**Definition 1.7.** a) A  $[\rho^d \rightarrow \rho]$ -name of  $f \in C(\mathbb{R}^d)$  is a double sequence

$$P_{n,m} \in \mathbb{D}[X_1, \dots, X_d] \text{ with } |f(x) - P_{n,m}(x)| \leq 2^{-n} \text{ for all } \|x\| \leq m.$$

- b) A closed set  $A \subseteq \mathbb{R}^d$  is computable if the function

$$\text{dist}_A : \mathbb{R}^d \ni x \mapsto \min \{ \|x - a\| : a \in A \} \in \mathbb{R} \cup \{\infty\} =: \overline{\mathbb{R}} \quad (2)$$

is computable. A  $\psi^d$ -name of  $A \in \mathcal{A}^{(d)}$  is a  $[\rho^d \rightarrow \rho]$ -name of  $\text{dist}_A$ , where  $\mathcal{A}^{(d)}$  denotes the space of closed subsets of  $\mathbb{R}^d$ .

- c) A  $\psi^d_{<}$ -name of  $A$  is a  $(\prod_{m \in \mathbb{N}} \rho^d)$ -name of some sequence  $x_m \in A$  dense in  $A$ .

d) A  $\psi^d_{>}$ -name of  $A$  are two sequences  $q_n \in \mathbb{Q}^d$  and  $\varepsilon_n \in \mathbb{Q}$  such that

$$\mathbb{R}^d \setminus A = \bigcup_n B(q_n, \varepsilon_n) \quad \text{where} \quad B(x, r) := \{y : \|x - y\| < r\} . \quad (3)$$

e) A  $\rho_{<}$ -name of  $x \in \mathbb{R}$  is a sequence  $(q_n) \subseteq \mathbb{Q}$  with  $x = \sup_n q_n$ ;

a  $\rho_{>}$ -name of  $x \in \mathbb{R}$  is a sequence  $(q_n) \subseteq \mathbb{Q}$  with  $x = \inf_n q_n$ .

f) For representations  $\alpha, \beta$  of  $X$  let  $\alpha \sqcap \beta := (\alpha \times \beta) \upharpoonright^{\Delta_X}$ , where  $\Delta_X := \{(x, x) \mid x \in X\}$ .

g) Write  $\alpha \preceq \beta$  if  $\text{id} : X \rightarrow X$  is  $(\alpha \rightarrow \beta)$ -computable.

h) We say that  $U \subseteq X$  is  $\alpha$ -r.e. if there exists a Turing machine which terminates precisely on input of all  $\alpha$ -names of  $x \in U$  and diverges on all  $\alpha$ -names of  $x \in X \setminus U$ .

**Theorem 1.8.** a) It holds  $\rho \preceq \rho_{<} \sqcap \rho_{>} \preceq \rho$ .

b) Every  $(\rho \rightarrow \rho_{<})$ -computable  $f : [0, 1] \rightarrow \mathbb{R}$  is lower semi-continuous.

c) A set  $A \in \mathcal{A}^{(d)}$  is  $\psi^d_{>}$ -computable iff  $\mathbb{R}^d \setminus A$  is  $\rho^d$ -r.e.

d) Let  $\|\cdot\|$  in Equation 3 denote any fixed computable norm. Let  $\|\cdot\|'$  denote some other norm and  $\psi^d_{>}$  the induced representation. Then  $\psi^d_{>} \preceq \psi^d_{>'}$ .

e) It holds  $\psi^d \preceq \psi^d_{<} \sqcap \psi^d_{>} \preceq \psi^d$ .

Moreover  $A$  is  $\psi^d_{<}$ -computable iff  $\text{dist}_A$  is  $(\rho^d \rightarrow \rho_{>})$ -computable;

and  $A$  is  $\psi^d_{>}$ -computable iff  $\text{dist}_A$  is  $(\rho^d \rightarrow \rho_{<})$ -computable.

In particular  $\psi^d$ -computability is invariant under a change of computable norms.

f) Union  $\mathcal{A}^{(d)} \times \mathcal{A}^{(d)} \ni (A, B) \mapsto A \cup B \in \mathcal{A}^{(d)}$  is  $(\psi^d \times \psi^d \rightarrow \psi^d)$ -computable; but intersection is not.

g) Closed image  $C(\mathbb{R}^d \rightarrow \mathbb{R}^k) \times \mathcal{A}^{(d)} \ni (f, A) \mapsto \overline{f[A]} \in \mathcal{A}^{(k)}$  is  $([\rho^d \rightarrow \rho^k] \times \psi^d_{<}, \psi^d_{<})$ -computable.

h) Preimage  $C(\mathbb{R}^d \rightarrow \mathbb{R}^k) \times \mathcal{A}^{(k)} \ni (f, B) \mapsto f^{-1}[B] \in \mathcal{A}^{(d)}$  is  $([\rho^d \rightarrow \rho^k] \times \psi^k_{>}, \psi^d_{>})$ -computable.

j)  $\{\emptyset\}$  is  $\psi^d_{>} \upharpoonright^{[0,1]^d}$ -r.e.

## 2 (In-)Computability in Linear Algebra and Geometry

Common algorithms (e.g. Gaussian Elimination) generally pertain to the Blum-Shub-Smale model (equivalently: *real-RAM*) of real computation — and lead to difficulties when implemented.

**Definition 2.1.** a) For a set  $S \subseteq \mathbb{R}^d$ , its convex hull is the least convex set containing  $S$ :

$$\text{chull}(S) := \bigcap \{C : S \subseteq C \subseteq \mathbb{R}^d, C \text{ convex}\} .$$

A polytope is the convex hull of finitely many points,  $\text{chull}(\{p_1, \dots, p_N\})$ . For a convex set  $C$ , point  $p \in C$  is called extreme (written “ $p \in \text{ext}(C)$ ”) if it does not lie on the interior of any line segment contained in  $C$ :

$$p = \lambda \cdot x + (1 - \lambda) \cdot y \wedge x, y \in C \wedge 0 < \lambda < 1 \quad \Rightarrow \quad x = y .$$

b) For a set  $X$ , let  $\binom{X}{k} := \{\{x_1, \dots, x_k\} : x_i \in X \text{ pairwise distinct}\}$ . **Convex Hull**, as understood in computational geometry, is the problem

$$\text{extchull}_N : \binom{\mathbb{R}^d}{N} \ni \{x_1, \dots, x_N\} \mapsto \{y \text{ extreme point of } \text{chull}(x_1, \dots, x_N)\} \quad (4)$$

of identifying the extreme points of the polytope  $C$  spanned by given pairwise distinct  $x_1, \dots, x_N$ .

c) For  $1 \leq j \leq n$  let  $g_j : \mathbb{R}^d \ni x \mapsto a_{j0} + \sum_i x_i \cdot a_{ji} \in \mathbb{R}$  ( $(a_{j1}, \dots, a_{jd}) \neq 0$ ) denote an affine linear function and  $H_j = g_j^{-1}(0)$  its induced oriented hyperplane,  $H_j^+ = g_j^{-1}(0, \infty)$  the positive halfspace and  $H_j^- = g_j^{-1}(-\infty, 0)$  the negative one. For  $x \in \mathbb{R}^d$ ,

$$\pi(x) = (\text{sgn } g_j(x))_{j=1, \dots, n} \in \{-1, 0, +1\}^n$$

is called its **position vector**. A cell of  $\mathcal{H} = \{H_1, \dots, H_n\}$  is a subset  $\pi^{-1}(\sigma)$  of  $\mathbb{R}^d$  for some  $\sigma \in \{-1, +1\}^n$ . **Point Location** is the problem of identifying, to fixed  $\mathcal{H}$  and upon input of some point  $x$ , the cell that  $x$  lies in, i.e., of computing the function

$$\text{Pointloc}_{\mathcal{H}} : \mathbb{R}^d \setminus \bigcup \mathcal{H} \rightarrow \{-1, +1\}^n, \quad x \mapsto \pi(x) . \quad (5)$$

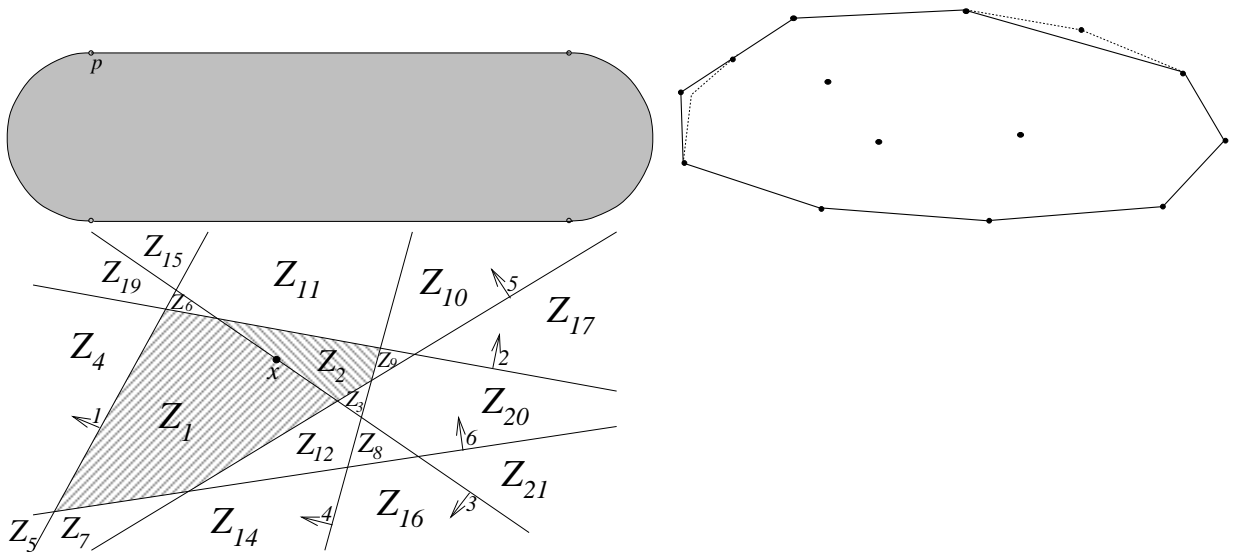
d) Let  $\det_d : \mathbb{R}^{d \times d} \rightarrow \mathbb{R}$  denote the determinant mapping and  $\text{rank}_{d,k} : \mathbb{R}^{d \times k} \rightarrow \mathbb{N}$  the rank. Moreover abbreviate  $\text{Gr}_k(V) := \{U \subseteq V \text{ lin. subspace, } \dim(U) = k\}$  and  $\text{Gr}(V) = \bigcup_k \text{Gr}_k(V)$ . Finally,  $\text{GramSchmidt}_{d,k} : \text{rank}_{d,k}^{-1}[k] \rightarrow \mathbb{R}^{d \times k}$  is the mapping induced by the Gram-Schmidt orthonormalization process.

e) Consider the multifunctions

$$\text{LSolve}_{d,k} : \text{rank}_{d,k}^{-1}[\{0, 1, \dots, k-1\}] \ni A \mapsto \ker(A) \setminus \{0\}$$

and  $\text{LSolve}'_{d,k} := \mathbb{R}^{d \times (k+1)} \ni (A, b) \mapsto \{x \mid A \cdot x = b\}$ .

f) Consider the multifunctions  $\text{SomeEVec}_d : \mathbb{R}^{d \times (d+1)/2} \ni A \mapsto \{x \neq 0 \mid \exists \lambda : A \cdot x = \lambda x\}$  and  $\text{EVecBase}_d : \mathbb{R}^{d \times (d+1)/2} \ni A \mapsto \{O \in \mathcal{O}(\mathbb{R}^d) \mid O^\dagger \cdot A \cdot O = \text{diag}(\dots)\}$ .



- Proposition 2.2.** a)  $\text{extchull}_N$  is discontinuous, hence incomputable;  
b) For any  $\mathcal{H} \neq \emptyset$ ,  $\text{Pointloc}_{\mathcal{H}}$  is discontinuous, hence incomputable;  
c)  $\det_d$  and  $\text{GramSchmidt}_{d,k}$  are computable;  
d)  $\text{rank}_{d,k}$  is discontinuous (hence incomputable) but  $(\rho^{d \times k}, \rho_{<})$ -computable.  
e) Linear independence  $\{(x_1, \dots, x_k) \in \mathbb{R}^{d \times k} \text{ linearly independent}\}$  is  $\rho^{d \times k}$ -r.e.  
f)  $\text{LSolve}_{d,k}$  and  $\text{SomeEVec}_d$  are uncomputable.

- Theorem 2.3.** a)  $\dim_d : \text{Gr}(\mathbb{R}^d) \rightarrow \{0, 1, \dots, d\}$  is  $(\psi_{<}^d, \rho_{<})$ -computable  
b) and  $(\psi_{>}^d, \rho_{>})$ -computable; that is,  $(\psi^d, \rho)$ -computable!

- Lemma 2.4.** a) The generalized determinant is  $(\rho^{d \times k}, \rho)$ -computable, namely the mapping  
 $\text{Det}_{d \times k} : \mathbb{R}^{d \times k} \ni (a_1, \dots, a_k) \mapsto \max \{ |\det(a_{j_1}, \dots, a_{j_d})| : 1 \leq j_1 \leq \dots \leq j_d \leq k \}$ .  
b)  $\mathbb{R}^{d \times k} \ni A \mapsto \text{range}(A) \in \mathcal{A}^{(d)}$  is  $(\rho^{d \times k}, \psi_{<}^d)$ -computable.  
c)  $\mathbb{R}^{d \times k} \ni A \mapsto \text{kern}(A) \in \mathcal{A}^{(k)}$  is  $(\rho^{d \times k}, \psi_{>}^k)$ -computable.  
d)  $\mathbb{R}^{d \times k} \cap \text{rank}^{-1}[k] \ni A \mapsto \text{range}(A) \in \mathcal{A}^{(d)}$  is  $(\rho^{d \times k}, \psi_{>}^d)$ -computable.  
e) Orthogonal complement, i.e. the mapping  $\text{Gr}(\mathbb{R}^d) \ni L \mapsto L^\perp \in \text{Gr}(\mathbb{R}^d)$ , is both  $(\psi_{<}^d, \psi_{>}^d)$ -computable and  $(\psi_{>}^d, \psi_{<}^d)$ -computable.  
f) The multivalued mapping  $\text{Basis}_{d,k} : \text{Gr}_k(\mathbb{R}^d) \ni L \mapsto \{B \in \mathbb{R}^{d \times k} : \text{range}(B) = L\}$  is  $(\psi_{<}^d, \rho^{d \times k})$ -computable.

**Theorem 2.5.** For fixed integers  $0 \leq k \leq d$ , the following representations of  $\text{Gr}_k(\mathbb{R}^d)$  are uniformly equivalent: A name of  $L \in \text{Gr}_k(\mathbb{R}^d)$  is

- a) a  $\rho^{d \times k}$ -name for some basis  $x_1, \dots, x_k \in \mathbb{R}^d$  for  $L$ ;  
b) same for an orthonormal basis;  
c) a  $\rho^{d \times m}$ -name ( $m \in \mathbb{N}$  arbitrary) for some real  $d \times m$ -matrix  $B$  with  $L = \text{range}(B)$ ;  
d) a  $\psi_{<}^d$ -name of  $d_L$ , i.e., approximations to  $d_L$  from above  
e) a  $\psi_{>}^d$ -name of  $d_L$ , i.e., approximations to  $d_L$  from below  
f) a  $\rho^{m \times d}$ -name ( $m \in \mathbb{N}$  arbitrary) for some real  $m \times d$ -matrix  $A$  with  $L = \text{kern}(A)$ ;  
a')-f') similarly, but for  $L' := L^\perp$  and  $k' := d - k$  instead of  $L$  and  $k$ .

**Fact 2.6 (E. Specker 1967)** Let  $\mathbb{C}_d[Z]$  denote the vector space of monic polynomials of degree  $d$ . The mapping  $\mathbb{C}^d \ni (z_1, \dots, z_d) \mapsto \prod_{j=1}^d (Z - z_j) \in \mathbb{C}_d[Z]$  has a computable multivalued inverse.

- Lemma 2.7.** a) For  $d \in \mathbb{N}$ , given  $x, y_1, \dots, y_d \in \mathbb{R}$  and  $v := \text{Card}\{1 \leq i \leq d : x = y_i\}$ , one can compute  $(i_1, \dots, i_v)$  with  $1 \leq i_1 < \dots < i_v \leq d$  and  $x = y_{i_1} = \dots = y_{i_v}$ .  
b) Given  $x_1, \dots, x_d \in \mathbb{R}$  and  $k := \text{Card}\{x_1, \dots, x_d\}$ , one can compute  $v_1, \dots, v_d \in \mathbb{N}$  with  $v_j = \text{Card}\{i : 1 \leq i \leq d, x_i = x_j\}$ .

- Theorem 2.8.** a) Given a  $\rho^{d \cdot (d-1)/2}$ -name of a symmetric real  $d \times d$ -matrix  $A$ , a  $d$ -tuple  $(\lambda_1, \dots, \lambda_d)$  of its eigenvalues with multiplicities is multivalued  $\rho^d$ -computable.
- b) Given a  $\rho^{d \cdot (d-1)/2}$ -name of a symmetric real  $d \times d$ -matrix  $A$  and given its number  $\text{Card } \sigma(A)$  of distinct eigenvalues, one can diagonalize  $A$  in the sense of  $\rho^{d \times d}$ -computing an orthonormal basis of eigenvectors.
- c) Given a  $\rho^{d \cdot (d-1)/2}$ -name of a symmetric real  $d \times d$ -matrix  $A$  and given the integer

$$\lfloor \log_2 m \rfloor, \quad \text{where} \quad m(A) := \min \{ \dim \ker(A - \lambda \cdot \text{id}) : \lambda \in \sigma(A) \} \in \{1, \dots, d\}$$

denotes the multiplicity of some least-degenerate eigenvalue, one can  $\rho^d$ -compute some eigenvector of  $A$ .

**Definition 2.9.** For  $1 \leq k \leq d$  integers let  $\text{Class}_{d,k}(x_1, \dots, x_d) := \{j : 1 \leq j \leq d, x_j = x_k\}$  and consider the multivalued mapping

$$\text{SomeClass}_d : \mathbb{R}^d \ni (x_1, \dots, x_d) \mapsto \{ \text{Class}_{d,k}(x_1, \dots, x_d) : 1 \leq k \leq d \}$$

yielding, for some  $k$ , the set of all indices  $i$  with  $x_i = x_k$ .

**Lemma 2.10.** Let  $x_1, \dots, x_d \in \mathbb{R}$  and  $m := \min_{1 \leq k \leq d} \text{Card } \text{Class}_{d,k}(x)$  as above.

- a) For each  $1 \leq k, \ell \leq d$  it either holds  $\text{Class}_{d,\ell}(x) = \text{Class}_{d,k}(x)$  or  $\text{Class}_{d,\ell}(x) \cap \text{Class}_{d,k}(x) = \emptyset$ . Also,  $\bigcup_k \text{Class}_{d,k}(x) = [d]$ .
- b) Consider  $I \subseteq [d]$  such that

$$x_i \neq x_j \quad \text{for all} \quad i \in I \quad \text{and all} \quad j \in [d] \setminus I. \quad (6)$$

Then  $I \cap \text{Class}_{d,k}(x) \neq \emptyset$  implies  $\text{Class}_{d,k}(x) \subseteq I$ .

Moreover  $1 \leq \text{Card}(I) < 2m$  implies  $I = \text{Class}_{d,k}(x)$  for some  $k$ .

- c) Suppose  $k \in \mathbb{N}$  is such that  $k \leq m < 2k$ . Then there exists  $\ell$  such that  $I := \text{Class}_{d,\ell}(x)$  satisfies (6) and has  $k \leq \text{Card}(I) < 2k$ . Conversely every  $I \subseteq [d]$  with  $k \leq \text{Card}(I) < 2k$  satisfying (6) has  $I = \text{Class}_{d,\ell}(x)$  for some  $\ell$ .
- d) Given a  $\rho^d$ -name of  $(x_1, \dots, x_d)$  and given  $k \in \mathbb{N}$  with  $k \leq m < 2k$ , one can computably find some  $\text{Class}_{d,\ell}(x)$ .

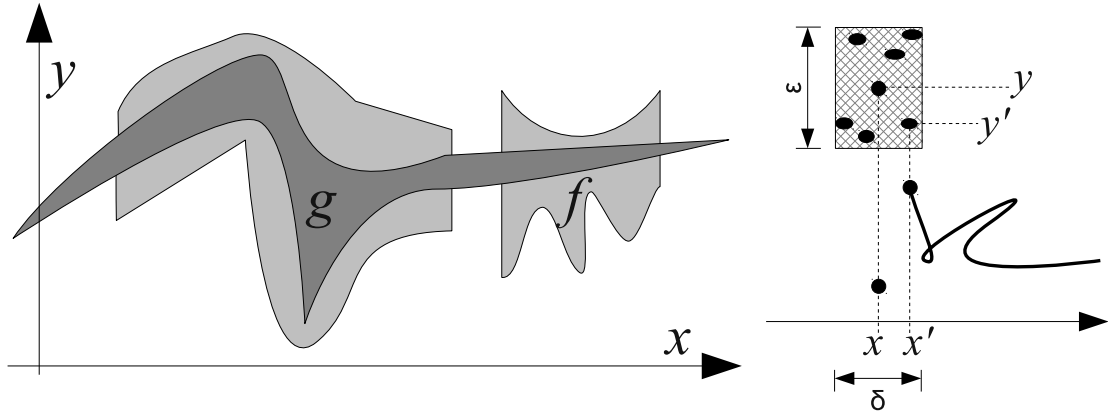
### 3 Continuity for Multivalued Functions

**Definition 3.1.** Let  $(X, d)$  and  $(Y, e)$  denote metric spaces and abbreviate  $B(x, r) := \{x' \in X : d(x, x') < r\} \subseteq X$  and  $\overline{B}(x, r) := \{x' \in X : d(x, x') \leq r\}$ ; similarly for  $Y$ . Now fix some  $f : \subseteq X \rightrightarrows Y$  and call  $(x, y) \in f$  a **point of continuity** of  $f$  if the following formula holds:

$$\forall \varepsilon > 0 \exists \delta > 0 \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x').$$

- a) Call  $f$  **strongly continuous** if every  $(x, y) \in f$  is a point of continuity of  $f$ :

$$\forall x \in \text{dom}(f) \forall y \in f(x) \forall \varepsilon > 0 \exists \delta > 0 \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x').$$



**Fig. 1.** a) For a relation  $g$  (dark gray) to tighten  $f$  (light gray) means no more freedom (yet the possibility) to choose some  $y \in g(x)$  than to choose some  $y \in f(x)$  (whenever possible). b) Illustrating  $\varepsilon$ - $\delta$ -continuity in  $(x, y)$  for a relation (black)

b) Call  $f$  *weakly continuous* if the following holds:

$$\forall x \in \text{dom}(f) \exists y \in f(x) \forall \varepsilon > 0 \exists \delta > 0 \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x').$$

c) Call  $f$  *uniformly weakly continuous* if the following holds:

$$\forall \varepsilon > 0 \exists \delta > 0 \forall x \in \text{dom}(f) \exists y \in f(x) \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x').$$

d) Call  $f$  *nonuniformly weakly continuous* if the following holds:

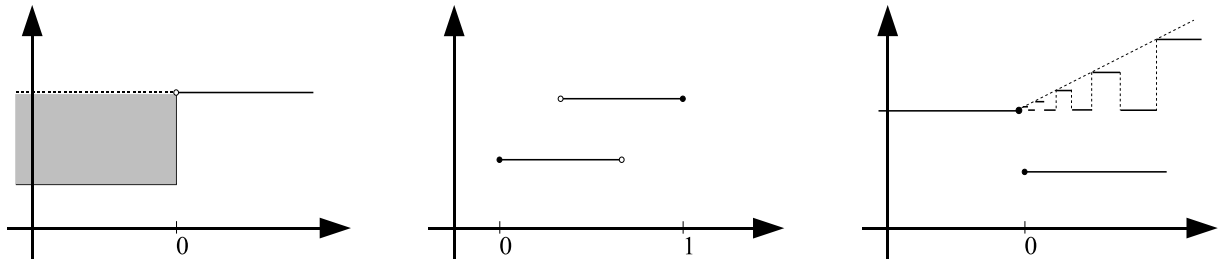
$$\forall \varepsilon > 0 \forall x \in \text{dom}(f) \exists \delta > 0 \exists y \in f(x) \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x').$$

e) Call  $f$  *Henkin-continuous* if the following holds:

$$\left( \begin{array}{cc} \forall \varepsilon > 0 & \exists \delta > 0 \\ \forall x \in \text{dom}(f) & \exists y \in f(x) \end{array} \right) \forall x' \in B(x, \delta) \cap \text{dom}(f) \exists y' \in B(y, \varepsilon) \cap f(x'). \quad (7)$$

f) Some  $g \subseteq X \rightrightarrows Y$  tightens  $f$  (and  $f$  loosens  $g$ )

if both  $\text{dom}(f) \subseteq \text{dom}(g)$  and  $\forall x \in \text{dom}(f) : g(x) \subseteq f(x)$  hold.



**Fig. 2.** a) Example of a uniformly weakly continuous but not weakly continuous relation. b) A semi-uniformly strongly continuous relation which is not uniformly strongly continuous. c) A compact, weakly and uniformly weakly continuous relation which is not computable relative to any oracle.

- Lemma 3.2.** a) Let  $f$  be uniformly weakly continuous and suppose that  $f$  is pointwise compact in the sense that  $f(x) \subseteq Y$  is compact for every  $x \in X$ . Then  $f$  is weakly continuous.  
b) Let  $f$  be nonuniformly weakly continuous and  $\text{dom}(f)$  compact.  
Then  $f$  is uniformly weakly continuous.  
c) If  $f$  is Henkin-continuous and tightens  $g$ , then also  $g$  is Henkin-continuous.  
d) If  $f$  and  $g : \subseteq Y \rightrightarrows Z$  are Henkin-continuous, then so is  $g \circ f : \subseteq X \rightrightarrows Z$ .  
e) A function  $F : \subseteq \{0, 1\}^\omega \rightarrow \{0, 1\}^\omega$  is an  $(\alpha, \beta)$ -realizer of  $f$   
iff  $F$  tightens  $\beta^{-1} \circ f \circ \alpha$  iff  $\beta \circ F \circ \alpha^{-1}$  tightens  $f$ .  
f) If  $\text{range}(f) \subseteq \text{dom}(g)$  holds and if both  $f$  and  $g$  map compact sets to compact sets,  
then so does  $g \circ f$ .

- Proposition 3.3.** a) The inverse  $\rho_b^{-1} : [0, 1] \rightrightarrows \{0, 1\}^\omega$  of the binary representation restricted to  $[0, 1]$  is not weakly continuous.  
b) Every  $x \in \mathbb{R}$  has a signed digit expansion

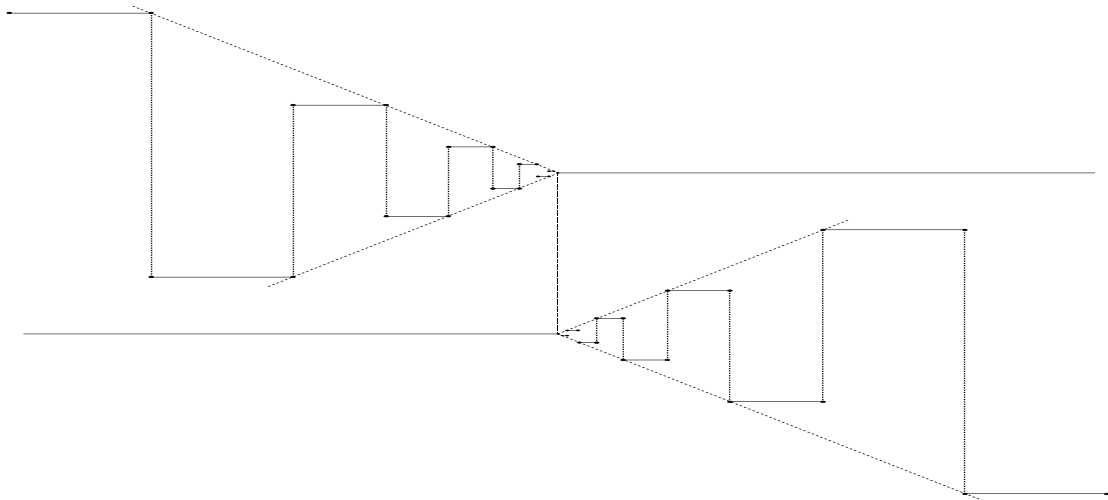
$$x = \sum_{n=-N}^{\infty} a_n 2^{-n}, \quad a_n \in \{0, 1, \bar{1}\} \quad (8)$$

with no consecutive digit pair  $11$  nor  $\bar{1}\bar{1}$  nor  $1\bar{1}$  nor  $\bar{1}1$ .

- c) For  $k \in \mathbb{N}$ , each  $|x| \leq \frac{2}{3} \cdot 2^{-k}$  admits such an expansion with  $a_n = 0$  for all  $n \leq k$ .  
And, conversely,  $x = \sum_{n=k+1}^{\infty} a_n 2^{-n}$  with  $(a_n, a_{n+1}) \in \{10, \bar{1}0, 01, 0\bar{1}, 00\}$  for every  $n$   
requires  $|x| \leq \frac{2}{3} \cdot 2^{-k}$ .  
d) Let  $x = \sum_{n=-N}^{\infty} a_n 2^{-n}$  be a signed digit expansion and  $k \in \mathbb{N}$   
such that  $(a_n, a_{n+1}) \in \{10, \bar{1}0, 01, 0\bar{1}, 00\}$  for each  $n > k$ .  
Then every  $x' \in [x - 2^{-k}/3, x + 2^{-k}/3]$  admits a signed digit expansion  
 $x' = \sum_{n=-N}^{\infty} b_n 2^{-n}$  with  $a_n = b_n \forall n \leq k$ .  
d) Let  $\Sigma := \{0, 1, \bar{1}, .\}$ .  
The inverse  $\rho_{sd}^{-1} : \mathbb{R} \rightrightarrows \Sigma^\omega$  of the signed digit representation is Henkin-continuous.

**Theorem 3.4.** Let  $K \subseteq \mathbb{R}$  be compact and  $f : K \rightrightarrows \mathbb{R}$  computable relative to some oracle.  
Then  $f$  is Henkin-continuous.

**Example 3.5** A compact total Henkin-continuous but not relatively computable relation.  
(Dashed lines indicate alignment and are not part of the graph)



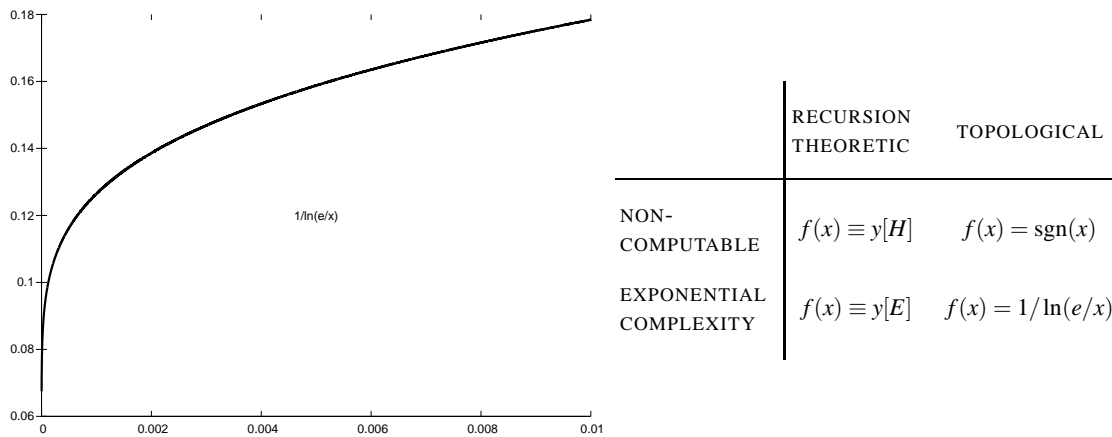


## 4 Computational Complexity

**Definition 4.1.** Call  $f : [0, 1] \rightarrow \mathbb{R}$  computable in time  $t(n)$  and space  $s(n)$  if some Turing machine can, upon input of every  $\rho_{sd}$ -name of every  $x \in \text{dom}(f)$  and of  $n$  in unary, produce within these resource bounds some  $c \in \mathbb{Z}$  such that  $|f(x) - c/2^{n+1}| \leq 2^{-n}$ .

**Lemma 4.2.** If  $f$  is (even oracle-)  $(\rho_{\mathbb{D}}, \rho_{\mathbb{D}})$ -computable in time  $t(n)$ , then  $\mu : \mathbb{N} \ni n \mapsto t(n+2) \in \mathbb{N}$  constitutes a modulus of uniform continuity to  $f$ , i.e.,  $|x - x'| \leq 2^{-\mu(n)} \Rightarrow |f(x) - f(x')| \leq 2^{-n}$ .

**Example 4.3** The following function is computable in exponential time, but not in polynomial time — and oracles do not help:  $f : (0, 1] \ni x \mapsto 1/\ln(e/x) \in (0, 1], \quad f(0) = 0$ .



**Fig. 3.** a) (Part of) the graph of  $f(x) = 1/\ln(e/x)$  from Example 4.3 demonstrating its exponential rise from 0.  
 b) Lower bound techniques in real function computation;  $H \subseteq \mathbb{N}$  is the Halting problem and  $\mathbb{N} \supseteq E \in \text{EXP} \setminus \mathcal{P}$ .

In particular functional evaluation  $(f, x) \mapsto f(x)$  is not computable within time bounded only in  $n$ , the output precision, even when restricting to smooth functions  $f : [0, 1] \rightarrow [0, 1]$ .

## 5 Recap on Blum-Shub-Smale (BSS) Machines

A BSS machine  $\mathbb{M}$  (over  $\mathbb{R}$ ) can in each step add, subtract, multiply, divide, and branch on the result of comparing two reals. Its memory consists of an infinite sequence of cells, each capable of holding a real number and accessed via two special index registers (similar to a two-head Turing machine). A program for  $\mathbb{M}$  may store a finite number of real constants. The notions of *decidability* and *semi-decidability* translate straightforwardly from discrete  $L \subseteq \{0, 1\}^*$  and  $L \subseteq \mathbb{N}^*$  to real languages  $\mathbb{L} \subseteq \mathbb{R}^*$ . Computing a function  $f : \subseteq \mathbb{R}^* \rightarrow \mathbb{R}^*$  means that the machine, given  $x \in \text{dom}(f)$ , outputs  $f(x)$  within finitely many steps and terminates while diverging on inputs  $x \notin \text{dom}(f)$ .

- Example 5.1** *a) rank :  $\mathbb{R}^{n \times m} \rightarrow \mathbb{N}$  is uniformly BSS-computable (in time  $\mathcal{O}(n^3 + m^3)$ )*  
*b) The multivalued mapping  $\mathbb{R}^{n \times m} \ni A \mapsto \{(b_1, \dots) \text{ basis of } \text{kern}(A)\} \in \mathbb{R}^{m \times *}$  is uniformly BSS-computable (in time  $\mathcal{O}(n^3 + m^3)$ ).*  
*c) The multivalued mapping  $\mathbb{R}^{n \times m} \ni A \mapsto \{(c_1, \dots) \text{ basis of } \text{range}(A)\} \in \mathbb{R}^{n \times *}$  is uniformly BSS-computable (in time  $\mathcal{O}(n^3 + m^3)$ ).*  
*d) The graph of the square root function is BSS-decidable.*  
*e)  $\mathbb{Q}$  is BSS semi-decidable; and so is the set  $\mathbb{A}$  of algebraic reals.*  
*f) The algebraic degree function  $\text{deg} : \mathbb{A} \rightarrow \mathbb{N}$  is BSS-computable.*  
*g) A language  $\mathbb{L} \subseteq \mathbb{R}^*$  is BSS semi-decidable iff  $\mathbb{L} = \text{range}(f)$  for some total computable  $f : \mathbb{R}^* \rightarrow \mathbb{R}^*$ .*  
*h) The real Halting problem  $\mathbb{H}$  is not BSS-decidable, where*

$$\mathbb{H} := \{ \langle \mathbb{M}, x \rangle : \text{BSS machine } \mathbb{M} \text{ terminates on input } x \}$$

**Definition 5.2.** Fix a field  $F \subseteq \mathbb{R}$  and  $d \in \mathbb{N}$ . A set

$$\mathbb{B} = \{ x \in \mathbb{R}^d : p_1(x) = \dots = p_k(x) = 0 \wedge q_1(x) > 0 \wedge \dots \wedge q_\ell(x) > 0 \} \quad (9)$$

of solutions to a finite system of polynomial (in)equalities with  $p_1, \dots, p_k, q_1, \dots, q_\ell \in F[X_1, \dots, X_d]$  is called *basic semi-algebraic over  $F$* .

A subset of  $\mathbb{R}^d$  *semi-algebraic over  $F$*  is a finite union of ones that are basic semi-algebraic over  $F$ . It is *countably semi-algebraic over  $F$*  if the union involves countably many members, all being basic semi-algebraic over  $F$ .

If is known that every basic semi-algebraic set has at most finitely many connected components.

**Lemma 5.3.** For  $f : \subseteq \mathbb{R}^* \rightarrow \mathbb{R}^*$ , and  $c_1, \dots, c_j \in \mathbb{R}$ , consider the following claims:

- $f$  is computable by a BSS Machine with constants  $c_1, \dots, c_j \in \mathbb{R}$ .*
- There is an integer sequence  $(d_n)_n$  such that  $\text{dom}(f) = \biguplus_n \mathbb{B}_n$  is the countable disjoint union of sets  $\mathbb{B}_n \subseteq \mathbb{R}^{d_n}$  semi-algebraic over field extension  $F := \mathbb{Q}(c_1, \dots, c_j)$ , and each restriction  $f|_{\mathbb{B}_n}$ ,  $n \in \mathbb{N}$ , a quolynomial with coefficients from  $F$ .*
- There exists  $c_{j+1} \in \mathbb{R}$  such that  $f$  is computable by a BSS Machine with constants  $c_1, \dots, c_j, c_{j+1}$ .*

Then a) implies b) implies c).

**Corollary 5.4.** a) The square root function  $[0, \infty) \ni x \mapsto \sqrt{x} \geq 0$  is not BSS-computable.

b) The sequence  $\mathbb{N} \ni n \mapsto e^{\sqrt{n}}$  is not BSS-computable.

c)  $\mathbb{Q}$  and  $\mathbb{A}$  are not BSS-decidable

d) nor is real integer linear programming  $\{(A, b) \mid A \in \mathbb{R}^{n \times m}, b \in \mathbb{Z}^m, \exists x \in \mathbb{Z}^n : A \cdot x = b\}$ .

**Fact 5.5 (Lindemann–Weierstraß)** Let  $a_1, \dots, a_n$  be algebraic yet linearly independent over  $\mathbb{Q}$ . Then  $e^{a_1}, \dots, e^{a_n}$  are algebraically independent over  $\mathbb{Q}$ .

## 6 Post’s Problem over the Reals

**Proposition 6.1.** a) Let  $x \in \mathbb{R}$ ,  $\varepsilon > 0$ ,  $N \in \mathbb{N}$ . There exists  $a \in \mathbb{A}$  of  $\deg(a) = N$  with  $|x - a| < \varepsilon$ .

b) Let  $f : \text{dom}(f) \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be analytic and non-constant,  $T \subseteq \text{dom}(f)$  uncountable.

Then,  $f$  maps some  $x \in T$  to a transcendental value, that is,  $f(x) \notin \mathbb{A}$ .

c) Fix non-constant  $f = p/q \in \mathbb{R}(X)$  with polynomials  $p, q$  of  $\deg(p) < n$ ,  $\deg(q) < m$ .

Let  $a_1, \dots, a_{n+m} \in \text{dom}(f)$  be distinct real algebraic numbers with  $f(a_1), \dots, f(a_{n+m}) \in \mathbb{Q}$ .

There are co-prime polynomials  $\tilde{p}, \tilde{q}$  of  $\deg(\tilde{p}) < n$ ,  $\deg(\tilde{q}) < m$  with coefficients in the algebraic field extension  $\mathbb{Q}(a_1, \dots, a_{n+m})$  such that, for all  $x \in \text{dom}(f) = \{x : q(x) \neq 0\} \subseteq \mathbb{R}$ , it holds  $f(x) = \tilde{f}(x) := \tilde{p}(x)/\tilde{q}(x)$ .

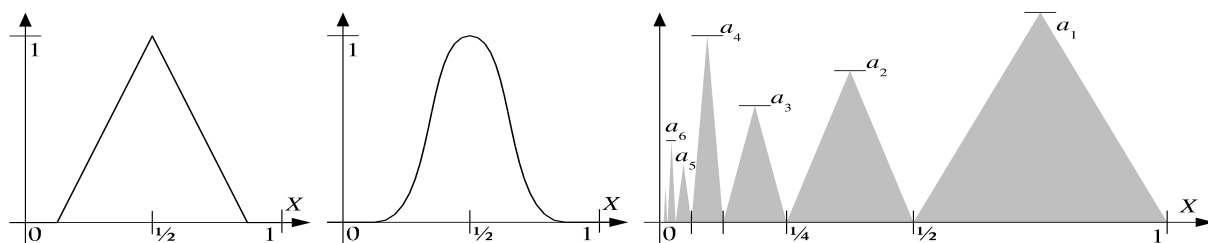
d) Continuing c), let  $d \geq \max_i \deg(a_i)$ . Then  $f(x) \notin \mathbb{Q}$  for all transcendental  $x \in \text{dom}(f)$  as well as for all  $x \in \mathbb{A}$  of  $\deg(x) > D := d^{n+m} \cdot \max\{n-1, m-1\}$ .

**Theorem 6.2.** The set  $\mathbb{Q}$  of rationals is semi-decidable and undecidable yet strictly ‘easier’ than  $\mathbb{H}$ :  $\mathbb{A}$  remains undecidable to a machine with oracle access to  $\mathbb{Q}$ .

## 7 Computable Analysis vs. Algebraic Computability

**Theorem 7.1.** a) Let  $f : \subseteq \mathbb{R}^k \rightarrow \mathbb{R}$  be continuous and computable by a BSS machine  $\mathcal{M}$  without real constants. Then  $f$  is  $(\rho^k \rightarrow \rho)$ -computable with oracle access to the Halting problem.

b) To every  $\ell$  there exists a  $C^\ell$  total function  $f : [0, 1] \rightarrow \mathbb{R}$  computable by a constant-free BSS machine which is not  $(\rho \rightarrow \rho)$ -computable.



**Fig. 4.** A piecewise linear and a  $C^k$  unit pulse, and a non-overlapping superposition by scaled shifts

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